

PTO/SB/21 (05-03)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/396,196	
	Filing Date	09/15/1999	
	First Named Inventor	Mittmann et al	
	Art Unit	1831	
	Examiner Name	Shubo Zhou	
Total Number of Pages in This Submission	3	Attorney Docket Number	3101.1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney or Authorization of Agent <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> <ul style="list-style-type: none"> - Statement 37 CFR 3.73(b). - Acknowledgement Postcard.
<div style="display: flex; justify-content: space-between;"> <div>Remarks</div> <div style="border: 1px solid black; width: 100%; height: 40px;"></div> </div>		

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Firm or Individual name	Sandra E. Wells Reg. 52,349	OFFICIAL
Signature	<i>Sandra E. Wells</i>	
Date	9/10/2003	

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Application Number 09/396,196
Filing Date 09/15/1999
First Named Inventor Mitmann et al
Art Unit 1631
Examiner Name Shubo Zhou
Attorney Docket Number 3101.1

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☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/56).

SIGNATURE of Applicant or Assignee of Record

Name Barbara A. Caulfield - Executive Vice President and General Counsel

Signature

Date

Telephone

408-731-5000

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

13 Total of 1 forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Michael Mittmann et al

Application No./Patent No.: 09/386,196

Filed/Issue Date: 09/15/1999

Entitled: Methods of Genetic Analysis

Affymetrix, Inc. a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- ☒ the assignee of the entire right, title, and interest or
- ☐ an assignee of less than the entire right, title, and interest
The extent (by percentage) of its ownership interest is ____ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 010513, Frame 0052, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8.)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

8/27/03
Date

408-731-5000

Telephone Number

Barbara A. Caulfield
SignatureBarbara A. Caulfield
Typed or printed nameExecutive V.P. and General Counsel
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